

Substitute for Form 1449 A & B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

| | |
|------------------------|----------------|
| Application Number | 10/797,936 |
| Confirmation Number | 4210 |
| Filing Date | March 11, 2004 |
| First Named Inventor | GUPTA, Sandeep |
| Art Unit | 1624 |
| Examiner Name | RAO, DEEPAK R. |
| Attorney Docket Number | Q80456 |

Sheet

1

of

1

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|--------------------|-----------------------|-----------------|--------------------------------------|--------------------------------|---|
| | | Number | Kind Code ² (if known) | | |
| DR | | US 4,746,352 | A | 05/1998 | Wenger et al. |
| | | US 4,859,229 | A | 08/1989 | Wenger et al. |
| | | US 4,881,967 | A | 11/1989 | Sample |
| | | US 4,927,451 | A | 05/1990 | Brouwer et al. |
| | | US 5,084,085 | A | 01/1992 | Theodoridis |
| | | US 5,116,404 | A | 05/1992 | Ishii et al. |
| | | US 5,169,431 | A | 12/1992 | Enomoto et al. |
| | | US 5,281,571 | A | 01/1994 | Woddard et al. |
| | | US 5,281,574 | A | 01/1994 | Enomoto, et al. |
| | | US 5,356,863 | A | 10/1994 | Satow et al. |
| | | US 5,441,925 | A | 08/1995 | Theodoridis |
| | | US 5,476,834 | A | 12/1995 | Takemura et al. |
| | | US 5,602,077 | A | 02/1997 | Amuti et al. |
| | | US 5,759,957 | A | 06/1998 | Andree et al. |
| | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Translation ⁶ |
|--------------------|-----------------------|---------------------------|---------------------|--------------------------------------|--------------------------------|---|--------------------------|
| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | | | |
| DR | | EP | 705829 | | 10/1996 | | |
| | | JP | 03215476 | | 09/1991 | | |
| | | JP | 09301973 | | 11/1997 | | |
| | | WO | 8501939 | | 05/1985 | | |
| | | WO | 9100278 | | 01/1995 | | |
| | | WO | 95/02580 | | 01/1995 | | |
| | | WO | 95/23509 | | 09/1995 | | |
| | | WO | 97/07104 | | 02/1997 | | |
| | | WO | 97/06150 | | 02/1997 | | |
| | | WO | 97/08171 | | 03-1997 | | |
| | | WO | 97/08170 | | 03/1997 | | |
| | | WO | 97/11060 | | 03/1997 | | |
| | | WO | 97/12886 | | 04/1997 | | |
| | | WO | 97/12883 | | 04/1997 | | |
| | | WO | 97/28127 | | 08/1997 | | |
| | | WO | 9742188 | | 11/1997 | | |

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation ⁶ |
|--------------------|-----------------------|--|--------------------------|
|--------------------|-----------------------|--|--------------------------|

| | | | |
|--------------------|-------------------|-----------------|--------|
| Examiner Signature | <i>Deepak RAO</i> | Date Considered | 3/1/06 |
|--------------------|-------------------|-----------------|--------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the internet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.

| | | | | | |
|--|---|----|---|--------------------------|----------------|
| Substitute for Form 1449 A & B/PTO <u>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</u> (use as many sheets as necessary) | | | | <i>Complete if Known</i> | |
| | | | | Application Number | 10/797,936 |
| | | | | Confirmation Number | 4210 |
| | | | | Filing Date | March 11, 2004 |
| | | | | First Named Inventor | GUPTA, Sandeep |
| | | | | Art Unit | 1624 |
| | | | | Examiner Name | RAO, DEEPAK R. |
| Sheet | 2 | of | 2 | Attorney Docket Number | Q80456 |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|-----------------------|-----------------|--------------------------------------|--------------------------------|---|
| Examiner Initials* | Cite No. ¹ | Document Number | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
| | | Number | Kind Code ² (if known) | | |
| | | US | | | |
| | | US | | | |
| | | US | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|-----------------------|---------------------------|---------------------|--------------------------------------|--------------------------------|---|--------------------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Translation ⁶ |
| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|-----------------------|---|--------------------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation ⁶ |
| DR | | Chemical Abstracts, vol. 96, No. 24, Jun. 14, 1982, p. 43, column 1, Abstract No. 200709g., Chernikov, A.Y. et al. Thermostable Composition. FR 2,476,068, Aug. 21, 1981. | |
| | | Chemical Abstract, vol. 69, No. 23, Dec. 2, 1968, p. 8993, column 1, Abstract No. 9620v, Agripat, S.A. "2-Nitro-3-(and5)-phenoxy(and phenylthio)anilines and their 0-phenylenediamine derivatives." FR 1,499,717, Oct. 27, 1967. | |
| | | Chemical Abstracts, vol. 45, No. 16, Aug. 25. 1951, p. 1951, column 1, Abstract No. 7033, Finger, G.C. et al., "Aromatic Fluorine compounds. II. 1,2,4,5-Tetrafluorobenzene and related compounds" J.Am. Chem. Soc., 1951, 73, 145-9. Hall et al. "Formation of cis, cis-1, 4-Dicyano-1,3-butadienes by Thermal Decomposition of 1,2-Diazidobenzenes", Journal of the American Chemical Societ, Nov. 8, 1967, vol. 89, No. 23, pp. 5856-5861. | |
| | | Wittek, P.J. "Synthetic Studies of the Antitumor Antibiotic Streptonigrin. 3 synthesis of the C-D Ring of Streptonigrin by an Unsymmetrical Ullmann Reaction ", Journal of Organic Chemistry, Mar. 1979, vol. 44, No. 5 pp. 870-872. | |
| | | Meegalla SK et al., "Synthesis and Pharmacological Evaluation of Isoindolo[1,2-b]quinazolinone and Isoindolo[2,1-a]benzimidazole Derivatives Related to the Antitumor Agent Batracylin", Journal of Medicinal Chemistry, Sep. 30, 1994, vol. 37, No. 20, pp. 3434-3439. | |
| | | Kato S. et al., "Synthesis of 4-Chloro-7-ethoxy-2(3H)-benzoxazolone-6-carboxylic Acid", Journal of Heterocyclic Chemistry, Jul.-Aug. 1996, vol. 33, No. 4, pp. 1171-1178. | |

| | | | |
|--------------------|----------------------|-----------------|--------|
| Examiner Signature | <i>Deepak R. RAO</i> | Date Considered | 3/1/06 |
|--------------------|----------------------|-----------------|--------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.